



Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

22264 U.S. PTO
10/713603

UTILITY PATENT APPLICATION TRANSMITTAL
FILED UNDER 37 C.F.R. §1.53(b)

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Albert F. Elcock and Thomas F. Kister

Title: **PERSONALIZED CALLER IDENTIFICATION**

Attorney Docket No. BCS03195

APPLICATION ELEMENTS:

- (1) ☒ Patent Application Specification, including Abstract and claims - 11 pages.
- (2) ☒ One (1) sheet of formal drawings, together with transmittal letter.
- (3) ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or charge any deficiency in the payment of the required fee(s), or credit any overpayment to Deposit Account No. 50-2117.
- ☒ Filing Fee \$770
- ☒ Assignment Recordal Fee \$40.00
- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed herewith.

Basic Fee							\$	770.00
Multiple Dependent Claims (\$290.)								
Foreign Language Surcharge (\$130.)								
	For	No. Filed	-	No. Extra		Rate		
EXTRA	Total Claims	20	20	0	x	\$18.	=	
CLAIMS	Independent Claims	3	3	0	x	\$86.	=	
TOTAL FILING FEE							=	\$ 770.00

MAIL STOP PATENT APPLICATION

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Page 2 of 3

- (4) ☒ Declaration and Power of Attorney form -- 2 pages
- a. ☒ Newly executed ☒ original ☐ copy
- b. ☐ Copy from a prior application
- c. ☐ Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.

- (5) ☒ Patent Application Data Sheet – 2 pages

ACCOMPANYING APPLICATION PARTS:

- (6) ☒ Associate Power of Attorney
- (7) ☒ Assignment document
- a. ☒ Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
- b. ☐ Copy from a prior application
- c. ☒ Please include assignee information when this application is published. Assignee:

General Instrument Corporation
101 Tournament Drive
Horsham, Pennsylvania 19044, USA

- (8) ☐ Preliminary Amendment
- (9) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) ☒ Return receipt postage prepaid postcard
- (11) ☒ Express Mail Certificate (Mailing Label No. EV _____ US)
- (12) ☐ Other:
- a. ☐

- (13) ☐ If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application no.: _____ filed _____
Prior application information:
Examiner: _____ Group/Art Unit: _____
Status: _____

MAIL STOP PATENT APPLICATION

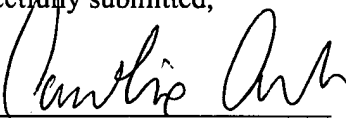
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Page 3 of 3

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4 above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

- (14) ☐ Please amend the specification by inserting before the first line the sentence:
- (15) ☐ The benefit of U.S. provisional application no. _____ filed _____ is claimed under 35 U.S.C. 119(e).
- (16) ☒ Customer Number: 20028
- Correspondence address:
Barry R. Lipsitz
Law Offices of Barry R. Lipsitz
755 Main Street, Building 8
Monroe, CT 06468
United States of America
Telephone: (203) 459-0200
Facsimile: (203) 459-0201
- (17) ☐ Priority of _____ application no. _____ filed _____ is claimed under 35 U.S.C. 119(a)-(d).
- (18) ☒ Pursuant to the provisions of 37 C.F.R. Sections 1.10 and 1.53, Applicant(s) respectfully request(s) that this application be assigned an application number and a filing date of 11/14/2003, the date upon which the application was mailed to the U.S. Patent and Trademark Office by Express Mail No. EV 317194737 US.

Respectfully submitted,



Caroline T. Coker
Attorney for Applicant(s)
Registration No. 50,516

Date: 11/14/2003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Elcock, et al.

Filed: Herewith

Title: PERSONALIZED CALLER IDENTIFICATION

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

“Express Mail” Mailing Label No: EV 31719473705 Date of Deposit: 11/14/2003

I hereby certify that the attached:

- ☒ Return receipt postcard
- ☒ Utility Patent Application Transmittal Letter - 3 pages
- ☒ Application Data Sheet - 2 pages
- ☒ Patent Application Specification including Abstract and claims - 11 pages
- ☒ One (1) sheet(s) of formal drawing(s), together with separate transmittal letter
- ☒ Declaration and Power of Attorney
- ☒ Associate Power of Attorney
- ☒ Assignment, together with Form PTO-1595

are being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: **MAIL STOP PATENT APPLICATION**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Carol J. Smith

Typed or printed name of person mailing paper or fee

Carol J. Smith

Signature of person mailing paper or fee

Respectfully submitted,

Carol T. Coker

Caroline T. Coker

Attorney for Applicant(s)

Registration No. 50,516

Date: 11/14/2003

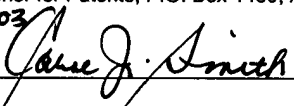
Attorney Docket No. BCS03195

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Elcock, et al.)
Filed: Herewith)
Title: PERSONALIZED CALLER IDENTIFICATION)

**MAIL STOP PATENT
APPLICATION**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. <u>86317194737</u> US) in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
11/14/2003	
By:	_____

TRANSMITTAL OF FORMAL DRAWING(S)

Dear Sir:

Enclosed is one (1) sheet of formal drawing(s) for filing in the above-referenced patent application.

Respectfully submitted,



Caroline T. Coker
Attorney for Applicant(s)
Registration No. 50,516

Date: 11/14/2003
ATTORNEY DOCKET NO.: BCS03195